

*Original Article*

# Attention Deficit Hyperactivity Disorder and dopamine D4 receptor (DRD4) polymorphisms in South Indian population

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**Objectives:** Four decades of research have found that Dopamine D4 Receptor (DRD4) is the major candidate gene however, few studies have supported the association between the DRD4 exon III long seven repeat allele and Attention Deficit Hyperactive Disorder (ADHD). Two Indian studies had shown there is an association between DRD4 7 repeat allele; hence, we investigated in the south Indian population. AIMS: To study the association of DRD4-EXON-3-7R long allele and minor physical anomalies with ADHD in comparison to age & sex-controlled normal subjects with no evidence of ADHD. settings and design-cross-sectional case-control study for two years at National Institute of Mental Health And NeuroSciences, Bangalore.

**Material and Methods:** 60 children with ADHD and 60 healthy children of 4-16 years of age group were recruited after informed consent. Assessed by DSMIV-TR, ADHD RS IV HOME VERSION 18 items, comorbidities by detailed interview of child and parents using Mini-International Neuropsychiatric Interview for Children & Adolescents (M.I.N.I). Kid for minor congenital anomalies modified waldrop scale & for the perinatal complications, Lewis Murray Obstetrics Complication Scales were applied. For the family history family interview for genetic study, global functioning was measured by children global assessment scale, neuropsychological tests of response inhibition test were used and blood samples was collected for genotyping.

**Results:** The genotype 2,2,2,4,4,4,5,4,7 repeat allele has shown equal distribution between cases and controls with p-value 0.492 with no significance.

**Conclusion:** There is no association between DRD4 EXON-3-7R long allele gene polymorphism and ADHD in South Indian population. DRD4 7R could be having influence on minor physical anomalies in ADHD.

**Keywords:** ADHD-Attention Deficit Hyperactivity Disorder, DRD4 7R – Dopamine D4 Receptor 7Repeat allele, VNTR – Variable Number Tandem Repeats

**INTRODUCTION**

Attention deficit hyperactivity disorder (ADHD) is a neuropsychiatric problem with onset in early childhood. ADHD is a condition characterized by inattention, impulsivity or both, and hyperactivity with onset before the age of seven years, giving rise to significant academic, social, and emotional problems at home and at school.<sup>1</sup>

ADHD is a polygenic disorder with more than 30 dopaminergic, noradrenergic, serotonergic, and gamma-aminobutyric acid neurotransmitter genes known to contribute to its susceptibility. Evidence of genetic susceptibility comes from several studies on a repertoire of genes, including Dopamine D4 Receptor (DRD4), dopamine receptor, DRD5, dopamine beta-hydroxylase gene, Serotonin transporter Gene (5HTT), 5HydroxyTryptamine Receptor

1B Gene (HTR1B), and Synaptosomal Associated Protein of 25kDa (SNAP25).<sup>2</sup> In India, there is very little systematic research on ADHD in children.<sup>3</sup>

Meta-analysis has shown a statistically significant association between ADHD and dopamine system genes, especially DRD4 and DRD5.<sup>4</sup> Four decades of research have found that DRD4 is the major candidate gene, however, few studies failed to support the association between the DRD4 exon III long seven repeat allele and ADHD.<sup>3,4,5</sup>

Dopamine is the key neurotransmitter in the development of ADHD. Evidence to support dopaminergic dysfunction in ADHD derives from three research areas: the neuropharmacology of stimulant medication<sup>4,6</sup> the behavior and biochemistry of animal models, and neuroimaging studies in ADHD adults.<sup>4</sup> Various meta-analyses have shown

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consistent evidence of the association of many candidate genes with childhood ADHD. Significant associations were identified for several candidate genes including DAT1, DRD4, DRD5, 5HTT, HTR1B, SNAP25, and also significant heterogeneity was observed for DBH, ADRA2A, 5HTT, TPH2, and MAO-A.<sup>4,6,7,8</sup> From 1991 to 2004, including three genome-wide linkage studies and association studies of 94 polymorphisms in 33 candidate genes. Evidence for association exists for four genes in ADHD: the dopamine D4 and D5 receptors and the dopamine and serotonin transporters.<sup>9</sup> Family association studies examined genetic components in the etiology of ADHD by using the Transmission Equilibrium test for the association between ADHD and DRD4 7R allele.<sup>4</sup>

As heritability is high, there is a 2–8-fold increase in risk for ADHD in children whose parents had ADHD, while twin studies attribute 80% of the etiology to genetic factors. The mean heritability estimates of 76% amongst twins indicate ADHD is the most heritable psychiatric disorder.<sup>4</sup>

Minor physical anomalies (MPAs) are congenital abnormalities of body structure as they develop from the same ectoderm layer in the embryo, which reflect fetal maldevelopment. MPAs are markers of central nervous system anomalies. High MPA counts have been associated with hyperactive behavior in normal boys and with inhibited behavior in normal girls.<sup>10</sup>

## DRD4 STRUCTURE AND FUNCTION

The dopamine D4 receptor structurally and pharmacologically resembles the dopamine D2 and D3 receptors. The dopamine D4 receptor gene is located on chromosome 11p15.5<sup>11,12</sup> and contains a remarkable number of polymorphic regions. There is a hypervariable region in the third cytoplasmic loop of the dopamine D4 receptor gene consisting of 2–10 imperfect 48 base pair repeats.<sup>13</sup> Therefore, the D4 receptor isoforms differ in the length of the third cytoplasmic loop and have 1, 4, 7, or 11 times the same insert of a stretch of 16 amino acid residues in their protein structure. The dopamine D4.2, D4.4, and D4.7 receptor alleles occur the most frequently, the ancient polymorphisms<sup>14</sup> but there is considerable variation in the distribution of alleles depending on ethnicity.<sup>14,15</sup> The universality of the polymorphism with only three common repeat-number alleles (4, 7, and 2) indicates that the polymorphism is ancient and arose before the global dispersion of modern humans. Various meta-analysis studies have shown varying genetic associations of DRD4 with ADHD.

## INDIAN CONTRIBUTION

An Indian study has found that ADHD transmission of different polymorphisms of the DRD4 in different ethnic groups. Bhaduri *et al.* study in 2006 is the first report on the transmission of different polymorphisms of DRD4 in Indian subjects. The transmissions of 6 and 7 repeat alleles of exon

3 48-bp Variable Number Tandem Repeats (VNTR) showed a significant association with ADHD.<sup>16</sup> Das M *et al.* study in 2011 showed significant preferential transmission of the 7R-T (DRD4 exon3 VNTR-rs1800955) and 3R-T (MAOA-u VNTR-rs6323).<sup>17</sup> Haplotypes were noticed from parents to probands of East Indian population. However, Stanley *et al.* (2017)'s Mumbai-based study has failed to support the association between the DRD4 exon III long seven repeat allele.<sup>18</sup> There is a paucity of Indian studies to generalize the association between DRD4 and ADHD in Indian population, hence this study was conducted.

## MATERIAL AND METHODS

After getting approval from the Institutional Ethics Committee of National Institute of Mental Health and Neurosciences Bangalore, assent from children with written informed consent from parents was taken.

**Study design:** Cross-sectional case–control study.

**Inclusion criteria:** Cases of 60 children aged 4–16 years who were diagnosed to have ADHD as per DSM-IV TR from inpatient & outpatient of child and adolescent psychiatry services. sixty controls of children aged 4–16 years with no ADHD were recruited from Indira Gandhi Institute of Child Hospital, Bangalore.

**Exclusion criteria:** Children with identifiable dysmorphic syndrome, pervasive developmental disorders, any form of mental retardation including Fragile-X syndrome, and any serious systemic illness like cardiac, renal, or liver failure were excluded.

## Phenotype assessment

1. **Mini Kid** developed by David Sheehan *et al.* was used for brief structured diagnostic interviews for children and adolescents within 15 min. Children under 13 years of age were interviewed in the presence of their parents.<sup>19</sup>
2. **Modified Waldrop Minor Congenital Anomaly Scale:** This scale assesses MPAs of the head, eyes, ears, mouth, hands, and feet, as it takes only 15 min with very minimal removal of clothing. It's a simple instrument with good inter-rater reliability and inter-scorer agreement. The coefficient of correlation of the scale has been found to be +0.84.<sup>20</sup>
3. **Lewis Murray Obstetrics Complication Scale (LMOCS)** rates 15 obstetric complications as absent or definitely present; 9 items of the exposure can also be rated as equivocally present. It provides a measure of perinatal insults that may affect brain development from case notes, birth records, and maternal interviews.<sup>21</sup>
4. **Family Interview for Genetic Study (FIGS)** is a guide for gathering genetic diagnostic information about relatives in the pedigrees being studied.<sup>22</sup>

5. **ADHD-Rating Scale IV Home Version** (ADHD-RSIV) is a revised version that can be completed by either parents (home form) or teachers (school form). There are two subscales, Inattentive and Hyperactive/Impulsive subscale. It can be administered to 4–20 years old. The test has good psychometric properties, particularly reliability and discriminant validity, making it especially useful for clinical samples. The ADHD RS-IV has high utility for multiple applications due to its quick completion, easy scoring, and sensitivity to treatment that was used to measure Inattentivity and Hyperactivity/Impulsivity in children.<sup>23,24</sup> Response Inhibition Test.

a) **Stroop Color Word Interference Test:** These measures selective attention, cognitive flexibility, and processing speed. In this test, subjects have to read the names of colors, naming colors, and naming color names that are printed in the color chart. The score is the amount of time needed by the subject to correctly identify the items per page and the number of errors committed. The last task has an interference component because it requires the subject to override or inhibit a reading response. It is a measure of executive functioning, cognitive flexibility, and the ease with which a person can shift his or her perceptual set to conform to changing demands and inhibit usual response from interfering with the unusual one.<sup>25</sup> An increase in Stroop interference is seen in ADHD.

b) **Go/No – Go TEST** is a measure of one's ability to suppress reflexes motor impulses and is done in two parts. First, the subject has to get into the set with contrasting movements, i.e., when the examiner shows one finger, the subject has to show two, and when the examiner shows two fingers, the subject has to show one. The sequence of movements is performed with the dominant hand. The subject is instructed that the rules would be changed, i.e., when the examiner shows two fingers, the subject has to show one finger, and when the examiner shows one finger, the subject has to do nothing. The correct responses, as well as the errors, are noted and form the score, which was used to measure a child's ability to suppress reflexes and motor impulses.<sup>26</sup>

**Children's Global Assessment Scale Score (CGAS)** (Schaffer *et al.* 1983)<sup>27</sup> an instrument that provides a global measure of level of functioning in children and adolescents. It is designed to reflect the lowest level of functioning for a child or adolescent during a specific time period. The measure provides a single global rating, on a scale of 0–100, where scores above 70 indicate normal function. In rating, the clinician makes use of the glossary details to determine the meaning of the points on the scale. This assesses current functioning and retrospective measures of the highest past

functioning and the worst past functioning for a period of 1 month. The reliability has been reported to be kappa = 0.61 (Hanssen-Bauer *et al.* 2007).<sup>28</sup>

## METHODOLOGY

### Genotyping

Five (5) ml of venous blood drawn from subjects under aseptic conditions & DNA was isolated from leukocytes nuclei by salting out method (Miller *et al.* 1988).<sup>29</sup>

### Principle

Detergents can solubilize lipids in the cell and nuclear membranes, thus releasing DNA into solution. High salt helps in the precipitation of the excess protein in the solution. The residual protein is further degraded by the addition of a special kind of protease called Proteinase K. High-molecular weight DNA is precipitated using cold absolute ethanol.

DRD4 gene is extremely polymorphic. It has one polymorphism located in the third exon coding for the third cytoplasmic loop of the receptor and consisting of a variable number of copies of a 48 base pair sequence, from 2 to 10.

Primers flanking the third cytoplasmic loop repeat region were used for Polymerase Chain Reaction (PCR).

Forward primer -- 5'TGTGGTGTAGGGAACGGCCTGAG 3'

Reverse primer -- 5'CTTCCTGGAGGTCACGGCTCAAGG3'<sup>30</sup>

Polymerase chain reaction (PCR) was carried out for 30 cycles along with thermostable DNA polymerase enzyme, TAQ POLYMERASE, at an annealing temperature of 61°C, and then products were resolved on 2.5% agarose gel, fragment sizes were determined by comparison with molecular weight, standards, and tested for 2, 4, 5, and 7 repeat alleles of DRD4 exon 3–48 base pairs.

### Statistical Analysis

The data sheets were coded and analyzed using descriptive statistics such as means, frequency distributions, percentages, and standard deviation. For continuous variables, the parametric Student's 't' test was used to compare the means between the two groups and non-parametric. There is an increase in MPAs and DRD4 present ADHD cases.

Tests such as chi-square, and Mann–Whitney *U* test were used for categorical variables through Statistical Package for Social Sciences (SPSS13.0).

Hardy–Wienberg equilibrium states that the genetic variation in a population will remain constant from one generation to the next in the absence of disturbing factors ( $p^2 + q^2 + 2pq = 1$ ). It was checked in all sample distribution of genotypic & allelic frequencies and were compared in cases and controls using

chi-square test. The group was in Hardy - Weinberg equilibrium. The data does not follow the Test of Normality (Kolmogorov -Smirnov Z), so the non-parametric tests were used.

## RESULTS

**Table 1:** Age of onset & severity of ADHD.

	Mean (in months) & SD	Results (%)
Age of onset ADHD	31.05 (13.73)	Male 49 (81)
Age of 1st assessment	70.87 (32.71)	Female 11 (19)
ADHD RS-IV severity	34.95 (10.23)	p = 0.0001
CGA score	59.83 (11.57)	

ADHD RS-IV: Attention Deficit Hyperactive Disorder Rating Scale IV; CGA score: Children's Global Assessment Scale Score; SD: Standard deviation.

**Table 2:** Case parameters.

Scales	Cases Mean & SD	Controls Mean & SD	Results
Waldrop minor congenital anomaly scale	3.67 (1.90)	2.0 (1.27)	p = 0.0001*
Lewis-Murray obstetric complication scale	0.45 (1.26)	0.02 (0.13)	p = 0.010*

\* p value is significant at 0.05; SD: Standard Deviation.

**Table 3:** Stroop test.

Cases Mean (s) & SD	Controls Mean (s) & SD	Results
139.75 (39.15)	106.64 (24.19)	0.009*

SD: Standard Deviation. \* p value significant <0.05.

**Table 4:** Genotype frequency data of DRD4.

Genotype alleles	Cases		Controls	
	Frequency	Percentage (%)	Frequency	Percentage (%)
2 2	0	0	3	5
2 4	6	10	4	6.7
4 4	49	81.7	48	80
4 5	1	1.7	1	1.7
4 7	4	6.7	4	6.7

Pearson chi-square test  $p$ -value = 0.492. No significance.

DRD4: Dopamine Receptor D4.

**Table 5:** Minor physical anomalies and obstetric data.

	Case	Controls	Mann-Whitney U	p-value significance
Waldrop score	75.48	45.52	907	0.0001*
LMOC score	67.54	53.46	1377	0.0001*

\* p value significant < 0.05; LMOC: Lewis Murray Obstetrics Complication.

**Table 6:** ADHD RS-IV score and DRD4 7R in cases.

DRD4 7 repeat	ADHD RS-IV score	Mean ranks	Mann-Whitney u	Significance
Present	4	38.75	79	0.349
Absent	56	29.91		

ADHD RS-IV: Attention Deficit Hyperactive Disorder Rating Scale IV; DRD4 7R – Dopamine D4 Receptor 7Repeat allele.

**Table 7:** MPA score and DRD4 7R in cases.

DRD4 7 repeat	Waldrop score	Mean ranks	Mann-Whitney U	Significance
Present	4	29.63	63.5	0.143
Absent	56	42.62		

MPA: Minor physical anomalies; DRD4 7R – Dopamine D4 Receptor 7Repeat allele.

## DISCUSSION

There is a gap between the onset and first consultations of 32 months, which is consistent with Malhi *et al.*'s study, as shown in Table 1.<sup>3</sup> ADHD subtypes—combined were 76.6%, 18.3% of inattentive, 1.7% of hyperactive-impulsive, and 3.3% of residual type, among which males were higher in numbers which is consistent with Malhi *et al.*'s study.<sup>3</sup> It showed higher rates of ADHD in males as compared to females, which varies between 3 and 7:1, in our study, the M:F is 4:1 which is consistent with the Mukhopadhyay *et al.* study.<sup>31</sup> The ADHD RS IV score in DRD4 7R cases present was 38.75, whereas it was 29.91 in the DRD4 7R absent cases. Though this was not statistically significant, but there appears to be a trend of greater severity of ADHD in the presence of DRD 4 7R allele.

The Child Global Assessment Score (CGAS) in cases had shown a Mean of 59.83 in variable functioning with sporadic difficulties or symptoms in several but not in all areas. Disturbance would be apparent to those who encounter the child in a dysfunctional setting or time but not to those who see the child in other settings.

The majority of studies on ADHD have supported a strong familial nature of this disorder as 2–8-fold increase in the risk for ADHD in parents and siblings of children with ADHD. In fact, the mean heritability was shown to be 76% (Bovincini *et al.*, 2020),<sup>4</sup> which is comparable to other neuropsychiatric

disorders such as schizophrenia or bipolar disorder. But in our study, we had 11.6% of cases with a family history of any psychiatric illnesses, among which 3.3% had ADHD, which is the least, as we have not done the familial genetic analysis.

In ADHD, it is shown that there are higher rates of MPAs and obstetrical complications, which have been reported in those with inattention and hyperactive behaviors. In our study, Waldrop minor congenital anomaly score ( $p = 0.0001$ ) and Lewis Murray Obstetric Complication score ( $p = 0.01$ ) showed significant differences between cases and controls in Table 2 and consistent with Fogel CA *et al.*'s study.<sup>10</sup>

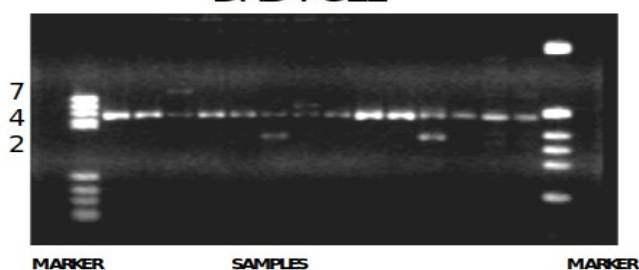
An increase in Stroop interference shown by meta-analysis which was tested by using Stroop color word interference test. In the neuropsychological test, 81.7% of cases completed the Stroop test, and 19.3% (those who could not do the Stroop test) were administered the go-no-go test. In the control group, 90.0% could complete the Stroop test, and the remaining 10% did the go-no-go test. There was a statistically significant difference between the cases and controls [Table 3]. 28.8% had specific learning disorders, 26.6% had expressive language disorder, and seizure disorders were 21%. This is consistent with the studies by Bush, Lansbergen, and Lopez *et al.*<sup>32-34</sup>

In our study [Table 4, Figure 1], the VNTR alleles of the DRD4 gene exon 3 found include 2-repeat (R), 4R, 5R, and 7R alleles. We have found 4R allele is the highest which is 81% and is consistent with the worldwide prevalence of 64.3% (Polanczyk

*et al.* 2007).<sup>1</sup> The second highest is longer 7R with about 6.7% but the global prevalence is 20.6% and it differs in Americans with 48.3%, and least in Southeast Asia with 1.9%. The third most common is the shorter 2R allele which is 5% in our study, as compared to global prevalence of 8.2%, and it has shown to be highest in Southeast Asia (18.1%). None of the alleles were significantly associated with ADHD cases, which is consistent with one Mumbai-based study (Stanley *et al.* 2017) and 42 similar studies shown in the meta-analysis of Bonvicini, Gizer *et al.*'s study.<sup>4,35-37</sup> However, 34 studies and 2 Indian studies (Bhaduri, Das M *et al.*) on subjects from Eastern India has shown an association of DRD4 Exon3-7R and ADHD (Bonvicini, Wang, Gizer, Sánchez-Soto, Li *et al.*).<sup>4,16,17,35-40</sup> These results indicate a possible difference in the allele frequencies of the DRD4 VNTR's across different ethnic groups.

In Table 5, the mean Waldrop score ( $p = 0.0001^*$ ) and mean Lewis Murray Obstetrics Complication Scale (LMOCS) score ( $p = 0.0001^*$ ) was higher in the cases as compared to controls, which is statistically significant. Table 6 shows mean ranks between the DRD4 7R present and absent group in cases with ADHD RS-IV score. On Mann-Whitney U non-parametric test, there is no significant difference, which is consistent with Fogel CA *et al.*<sup>10</sup> There was no association between DRD4 7 repeat allele and ADHD. In Table 7, there is no significant association between MPA and DRD4 7R allele. The MPAs are indicating that some early embryonic either genetic or non-genetic had played a role in the genesis of ADHD in this population.

## DRD4 GEL



**Figure 1:** Variable Number Tandem Repeats (VNTR) polymorphism fragments of DRD4 7repeat allele gene photograph.

Lanes 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16

This is the photograph of the 2.5% agarose gel showing the DRD4 7R gene alleles under ultraviolet illumination, after the completion of gel electrophoresis. The sizes were determined after comparing the allele size with standard marker which was loaded in the agarose gel, along with the amplified polymerase chain reaction (PCR) products of the subjects. In the above photograph, the marker is present on the left- and right-hand side. Lanes 1,16- Bands were compared with standard DNA marker 1-PBR, 16- HaellI Digest

Lanes 2,3,5,6,9,10,11,13,14,15- genotype of 4 4

Lane 4 ----- genotype of 4 7

Lanes 7, 12 ----- genotype of 2 4

Lane 8 ----- genotype of 2 2

## STRENGTHS

The researchers were blind to the genotyping of the sample, and the accuracy was 99.52%. There was no ethnicity problem, as all subjects in the study were South Indians. The current study adds to the knowledge of the present status of DRD4 7R allele and the ADHD, given the fact that ADHD is a polygenic disorder with variable environmental influence.

## LIMITATIONS

In view of the low sample size, the influence of predictor variables, like psychosocial adversities, could not be analyzed. Chances of type II error are high due to the small sample size. Hence, the generalizability of the finding to our population is difficult and needs further validation with a larger diverse sample size and family-based studies to find any significant association of the DRD4 7 R allele with ADHD.

## CONCLUSION

Cases with DRD4 7R allele had a non-significantly higher mean rank of ADHD RS-IV score but no association.

In our study, there is no association between DRD4 7R and ADHD.

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## Ethical approval

The research/study approved by the Institutional Ethics Committee of National Institute of Mental Health and Neurosciences Bangalore, number NIMHANS 59th 59 IEC/8.09/21-05-2008.

## Declaration of patient consent

The authors certify that they have obtained all appropriate patient consent

## Financial support and sponsorship

Nil.

## Conflicts of interest

There are no conflicts of interest.

## Use of artificial intelligence (AI)-assisted technology for manuscript preparation

The authors confirm that there was no use of artificial intelligence (AI)-assisted technology for assisting in the writing or editing of the manuscript and no images were manipulated using AI.

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